

ANNEX 1

Format for the Protocol on Pollutant Release and Transfer Registers Implementation Report in accordance with Decision I/5 (ECE/MP.PRTR/2010/2/Add.1)

CERTIFICATION SHEET

The following report is submitted on behalf of

_____ **[name of the Party or the Signatory] in accordance with decision I/5**

Name of officer responsible for submitting the national report:	
Signature:	
Date:	

IMPLEMENTATION REPORT

Please provide the following details on the origin of this report.

<i>Party/Signatory</i>	
<i>NATIONAL FOCAL POINT</i>	
Full name of the institution:	
Name and title of officer:	
Postal address:	
Telephone:	
Fax:	
E-mail:	

<i>Contact officer for national report (if different):</i>	
Full name of the institution:	
Name and title of officer:	
Postal address:	
Telephone:	
Fax:	
E-mail:	

<i>Designated competent authority responsible for managing the national or regional register (if different):</i>	
Full name of the institution:	
Name and title of officer:	
Postal address:	
Telephone:	
Fax:	
E-mail:	

<p>Provide a brief description of the process by which this report has been prepared, including information on which types of public authorities were consulted or contributed to its preparation, how the public was consulted and how the outcome of the public consultation was taken into account and on the material which was used as a basis for preparing the report.</p>
<i>Answer:</i>

Articles 3, 4 and 5

<p>List legislative, regulatory and other measures that implement the general provisions in articles 3 (general provisions), 4 (core elements of a pollutant release and transfer register system (PRTR)) and 5 (design and structure).</p>
In particular, describe:
(a) With respect to article 3, paragraph 1 , measures taken to ensure the implementation of the provisions of the Protocol, including enforcement measures;
(b) With respect to article 3, paragraph 2 , measures taken to introduce a more extensive or more publicly accessible PRTR than required by the Protocol;
(c) With respect to article 3, paragraph 3 , measures taken to require that employees of a facility and members of the public who report a violation by a facility of national laws implementing this Protocol to public authorities are not penalized, persecuted or harassed for their actions in reporting the violation;
(d) With respect to article 3, paragraph 5 , whether the PRTR system has been integrated into other reporting mechanisms and, if such integration has been undertaken, into which systems. Did such integration lead to elimination of duplicative reporting? Were any special challenges encountered or overcome in undertaking the integration, and how?
(e) With respect to article 5, paragraph 1 , how releases and transfers can be searched and identified according to the parameters listed in subparagraphs (a) to (f);
(f) With respect to article 5, paragraph 4 , provide the Universal Resource Locator (url) or Internet address where the register can be continuously and immediately accessed, or other electronic means with equivalent effect;

(g) With respect to article 5, paragraphs 5 and 6 , provide information on links from the Party's register to relevant existing, publicly accessible databases on subject matters related to environmental protection, if any, and a link to PRTRs of other Parties.
Answer:

Article 7

List legislative, regulatory and other measures that implement article 7 (reporting requirements).
Describe or identify as appropriate:
(a) With respect to paragraph 1 , whether the reporting requirements of paragraph 1 (a) are required by the national system, or whether those of paragraph 1 (b) are required by the national system;
(b) With respect to paragraphs 1, 2 and 5 , whether it is the owner of each individual facility that is required to fulfil the reporting requirements or whether it is the operator;
(c) With respect to paragraph 1 and annex I , any difference between the list of activities for which reporting is required under the Protocol, or their associated thresholds, and the list of activities and associated thresholds for which reporting is required under the national PRTR system;
(d) With respect to paragraph 1 and annex II , any difference between the list of pollutants for which reporting is required under the Protocol, or their associated thresholds, and the list of pollutants and associated thresholds for which reporting is required under the national PRTR system;
(e) With respect to paragraph 3 and annex II , whether for any particular pollutant or pollutants listed in annex II of the Protocol, the Party applies a type of threshold other than the one referred to in the responses to paragraph (a) above and, if so, why;
(f) With respect to paragraph 4 , the competent authority designated to collect the information on releases of pollutants from diffuse sources specified in paragraphs 7 and 8;
(g) With respect to paragraphs 5 and 6 , any differences between the scope of information to be provided by owners or operators under the Protocol and the information required under the national PRTR system, and whether the national system is based on pollutant-specific (paragraph 5 (d) (i)) or waste-specific (paragraph 5 (d) (ii)) reporting of transfers;
(h) With respect to paragraphs 4 and 7 , where diffuse sources have been included in the register, which diffuse sources have been included and how these can be searched and identified by users, in an adequate spatial disaggregation; or where they have not been included, provide information on measures to initiate reporting on diffuse sources;
(i) With respect to paragraph 8 , the types of methodology used to derive the information on diffuse sources.
Answer:

Article 8

For each reporting cycle since the last national implementation report (or

date of entry into force of the Protocol), please indicate:

(a) The reporting year (the calendar year to which the reported information relates);

(b) The deadline(s) by which the owners or operators of facilities were required to report to the competent authority;

(c) The date by which the information was required to be publicly accessible on the register, having regard to the requirements of **article 8** (reporting cycle);

(d) Whether the various deadlines for reporting by facilities and for having the information publicly accessible on the register were met in practice; and if they were delayed, the reasons for this;

(e) Whether methods of electronic reporting were used to facilitate the incorporation of the information required in the national register, and if such methods were used, the proportion of electronic reporting by facilities and any software applications used to support such reporting.

Answer:

Article 9

Describe the legislative, regulatory and other measures ensuring the collection of data and the keeping of records, and establishing the types of methodologies used in gathering the information on releases and transfers, in accordance with article 9 (data collection and record-keeping).

Answer:

Article 10

Describe the rules, procedures and mechanisms ensuring the quality of the data contained in the national PRTR and what these revealed about the quality of data reported, having regard to the requirements of article 10 (quality assessment).

Answer:

Article 11

Describe the way(s) in which public access to the information contained in the register is facilitated, having regard to the requirements of article 11 (public access to information).

Answer:

Article 12

Where any information on the register is kept confidential, give an indication of the types of information that may be withheld and the frequency with which it is withheld, having regard to the requirements of article 12 (confidentiality). Please provide comments on practical experience and challenges encountered with respect to dealing with confidentiality claims, in particular with respect to the requirements set out in paragraph 2.

Answer:

Article 13

Describe the opportunities for public participation in the development of the national PRTR system, in accordance with article 13 (public participation in the development of national pollutant release and transfer registers), and any relevant experience with public participation in the development of the system.

Answer:

Article 14

Describe the review procedure established by law to which all individuals have access if they consider that their request for information has been ignored, wrongfully refused or otherwise not dealt with in accordance with the provisions of article 14 (access to justice), and any use made of it.

Answer:

Article 15

Describe how the Party has promoted public awareness of its PRTR and provide detail, in accordance with article 15 (capacity-building), on:

- (a) Efforts to provide adequate capacity-building for and guidance to public authorities and bodies to assist them in carrying out their duties under the Protocol;
- (b) Assistance and guidance to the public in accessing the national register and in understanding the use of the information contained in it.

Answer:

Article 16

Describe how the Party has cooperated and assisted other Parties and encouraged cooperation among relevant international organizations, as appropriate, in particular:

- (a) In international actions in support of the objectives of this Protocol, in accordance with **paragraph 1 (a)**;
- (b) On the basis of mutual agreements between the Parties concerned, in implementing national systems in pursuance of this Protocol, in accordance with **paragraph 1 (b)**;
- (c) In sharing information under this Protocol on releases and transfers within border areas, in accordance with **paragraph 1 (c)**;
- (d) In sharing information under this Protocol concerning transfers among Parties, in accordance with **paragraph 1 (d)**;
- (e) Through the provision of technical assistance to Parties that are developing countries and Parties with economies in transition in matters relating to this Protocol, in accordance with **paragraph 2 (c)**.

Answer:

Provide any further comments relevant to the Party's implementation, or in the case of Signatories, preparation for implementation, of the Protocol. Parties and Signatories are invited to identify any challenges or obstacles encountered in

setting up, gathering data for and filling in the register.

Answer: